

HF Markets

HF Markets (UK) Ltd

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HF Markets (UK) Ltd

**FINANCIAL SERVICES COMPENSATION SCHEME
(FSCS)**

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The Financial Services Compensation Scheme (“**FSCS**”) is the UK's statutory fund of last resort for customers of regulated financial services firms. This means that the FSCS compensation scheme offers up to £85,000 to eligible clients should an investment firm cease trading with a deficit in their segregated client money or in the event that a client money bank fails.

The FSCS is an independent body, set up under the Financial Services and Markets Act 2000 (FSMA). In the unlikely event that HF Markets (UK) Ltd is unable to meet its financial obligations in respect of investment business, if you make a valid claim you may be entitled to redress from the FSCS in respect of the investments that we arrange for you. Eligible claimants can submit a claim to the FSCS.

What happens to my money if HF Markets (UK) Ltd goes into liquidation?

Primary Pooling: This event is known as primary pooling whereby retail clients would have their share of segregated client money returned, less the administrators’ costs in handling and distributing these funds. Any shortfall of funds of up to £85,000 may be compensated for under the FSCS.

What happens to my money if a bank holding client money on behalf of HF Markets (UK) Ltd goes into liquidation?

Secondary Pooling: This is known as secondary pooling event. Losses would be shared by clients in proportion to the share of funds held with a bank which has failed. Any funds lost as a result may be compensated for under the FSCS up to a limited amount of £85,000 per person, per institution, subject to other balances held with the said bank.

Who can make a claim?

To be eligible to make a claim for compensation to the FSCS (an 'Eligible Claimant'), you must have lost money as a result of your dealings with a regulated financial services provider. Eligibility will also depend on the type of business and the circumstances of the claim. FSCS can only pay compensation for financial loss. You should note that this scheme is not normally available to Professional Clients (as defined in the FCA Rules) but in some circumstances some elective professional clients, which meet a certain criteria may be considered eligible claimants as per COMP4: Eligible claimants in the FCA rule handbook:

<https://www.handbook.fca.org.uk/handbook/COMP/4.pdf>

For more information about the FSCS and its protection to investors, please visit <http://www.fscs.org.uk/>.

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